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Chapter 1: Introduction

Every day the GEONETCast system streams huge amounts of data onto the server. Since many of the images are redundant or of less interest, it is important to store only some representative ones. Automated Selection and Processing (ASAP) serves to automatically select a representative set of images and to process these onto the server, whilst deleting the others. But: How do you define, which images are representative and which are not? To answer this question, you have to come up with a similarity measurement that defines the distance (or dissimilarity) between two images. To handle the huge number of pixels, take into account the spatial structures of the image and at the same time create an efficient way to solve these problems, it is important to find a sophisticated method.

Chapter 2 tells about the selection process in detail, the following one about the architecture that is used to filter the images. The fourth chapter explains the statistical methods that are used to select the images, chapter 5 describes some tests performed to evaluate the program. Chapters 6 and 7 tell about the problems and the lessons learned, whilst the last one summarizes the results and proposes possible refinements and extensions of ASAP.

Chapter 2: Selection process

The selection process is done in several steps. At first, ASAP loads a given set of n images. Then, it checks for KO-criteria: If a picture doesn’t have enough contrast or is of bad quality, it is sorted out and not regarded anymore.

Next, the images have to be checked spatially. Of course it only makes sense to compare those images, which have the same spatial extract. How can you tell, if a picture is similar to another, if it shows a different space? The images are geotiffs and therefore they store spatial information from which you can conclude the bounding box. By comparing the bounding boxes, three cases can occur: Firstly, both images match spatially, hence they belong to the same set. Secondly, they can partly overlap. If the percentual overlap is beyond a certain threshold, the images belong to the same set. From now on, only the overlapping part is regarded to measure similarity. Thirdly, both images are spatially different. Now, they cannot be compared to each other, but maybe with other images of the input. When all images have been spatially checked the result is a set of sets.
In the next step, each of the sets is checked for similarity: For each combination of two images in the set, a mahalanobis distance is calculated. The higher the distance is, the more unsimilar the images are. The mahalanobis distance is used to take into account the spatial structures of the images. For the statistical details, see chapter 4.

When all distances are calculated, the most representative images can be chosen and finally be stored on the server.

**Chapter 3: Architecture**

ASAP is created in a classical three tier structure (see image 3.1) and programmed in the object oriented language JAVA. First, we have the GUI. Since the GUI is not our main focus of interest it is held quite rudimentary. You can load the images you want to check and secondly define the search parameters, like the quota which defines the percentage of how many of the images you want to select. The GUI transfers the selection parameters to the manager. Next, the manager calls the file loader. The file loader loads the images from the GNC server into the programm. In order to avoid a heap space overflow, we don’t use the actual images with all its huge amount of data store. The file loader creates a proxy object. This is a classical software design pattern. It contains only the relevant information of the image. That is its bounding box, its file adresse and a sample of the relevant image pixels. We don’t analyze all of the pixels since the statistical computations that will be performed afterwards are very complicated. Thus, we can only take a random sample of information into consideration. A sample is created randomly, but for each image the same pixels are chosen. A quite exact but still performant number of pixels is 1000. Each sample consists of sample element. This is the representation of a pixel. It contains the image coordinates and a set of values for each channel in the image’s spectrum. When we created a sample for each image in the GNC-input folder, a set of proxies is created and returned to the image manager to be analysed afterwards.

Next, the image manager transfers the images to the spatial checker. The spatial checker serves to select the images spatially. The idea is to compare those images which have the same spatial extract. It would not make sense to compare two images concerning similarity if they show a different space. Therefore it checks the images and sorts them by their space they represent. This results in a set of sets of images of the same spatial extract.
Each of those sets is next analyzed statistically in the similarity selector. For the complex statistical calculations we use an java based interface to the statistical software R (rjava). At first, each image is compared with each other image. The mahalanobis distance is a measurement of similarity. It is small if two images are similar and vice versa. All distances are represented in a distance matrix. The distance matrix is analysed by a one dimensional scaling. This brings the images into an order. Now the most representative images can be selected. The most representative ones are sent back to the fileloader. Concluded from the adresse stored in the proxy object, the fileloader copies the most representative images into the target folder. How the statistical mechanism really work will be described in detail in the next chapter.

Figure 1: ASAP Architecture.

Chapter 4: Statistical Methods
This chapter describes the statistical methods used in the class „Similarity Selector“. To select the representative images of a set of images, it is necessary to define a measurement of similarity. The mahalanobis distance is a way to calculate that (chapter 4.1). To calculate the mahalanobis distance it is first important to estimate the autocovariance function of an image to use the spatial information contained in the image. (chapter 4.2). When all of the images have been compared to each other, we get
a distance matrix of all combinations of images. To get the representative ones, we have to project the similarity information on a scale. The technique used to do that is called multidimensional scaling.

### 4.1. Mahalanobis Distance

The Mahalanobis Distance is a measurement for similarity between two images $x$ and $y$ and is defined as follows:

$$d(\bar{x}, \bar{y}) = \sqrt{(\bar{x} - \bar{y}) \cdot S^{-1} \cdot (\bar{x} - \bar{y})}$$

$\bar{x} = (x_1, x_2, x_3, \ldots, x_n)$

$\bar{y} = (y_1, y_2, y_3, \ldots, y_n)$

$\bar{x}$ and $\bar{y}$ are representing the samples that are taken out of the images. Each $x_i$ is an element of the sample and represents a pixel value. In case it is a multi spectral image, it is a vector of pixel values, one for each channel. The samples are taken for all of the $k$ images that have to been checked for similarity. The sample size is $n$ and the sample elements are taken for each image at the same position. We cannot check every pixel of the image since the computational effort would be way to high. That’s why we take a sample of a thousand pixels into concern.

As we see, the formula of the Mahalanobis Distance looks quite similar to the euclidean distance. But instead of just taking the pixel-wise distances by square, those distances are weighted by the inverse matrix of $S$. What is $S$?

$$S = \begin{pmatrix}
\text{cov}(X_1, X_1) & \text{cov}(X_1, X_2) & \cdots & \text{cov}(X_1, X_n) \\
\text{cov}(X_2, X_1) & \text{cov}(X_2, X_2) & \cdots & \vdots \\
\vdots & \vdots & \ddots & \vdots \\
\text{cov}(X_n, X_1) & \cdots & \cdots & \text{cov}(X_n, X_n)
\end{pmatrix}$$

$S$ is the covariance matrix containing the covariances of all pixel combinations. It is inverted and then used to weigh the pixels. The idea is to take into account the spatial information of the image. Let’s say we want to select the best images out of a set of 50 images by checking 1000 pixels. Since $k$, the number of images is way smaller than $n$, the number of sample elements, the covariance matrix would be singular and therefore not invertible. The next sub-chapter shows, what can be done.
4.2. **Estimating the auto-correlation function**

Instead of calculating each covariance, we assume that the covariance $\text{cov}(X_i, X_j)$ only depends on the distance between the two pixels to be compared $(X_i$ and $X_j)$. The distance is the euclidean distance between the image coordinates. At first, we need a standardized function that depends on the distance. We use the following function:

$$\text{acv}(d_{i,j}) = e^{-d/a}$$

The name acv stands for autocorrelation function. It is estimated by a non-linear regression between the distance and the correlation coefficient of $X_i$ and $X_j$. If the distance is zero (ergo it is the same pixel) the function has the value 1 which makes sense because the correlation is trivially 1. If the distance gets larger, the function value converges to 0 which makes sense as well, since the spatial influence diminishes with growing distances.

Now, we have a function that results in correlation values depending only on the distance. The concept is similar to stationarity in time series analysis.

From the correlation values we can easily calculate the covariances as follows:

$$\text{cov}(X_i, X_j) = \text{acv}(d_{ij}) \cdot \sqrt{\text{var}(X_i) \cdot \text{var}(X_i)}$$

Next, the matrix $S$ can be estimated and inverted. The distance matrix of all combinations of images can be calculated by computing the Mahalanobis distance for each pair of images. Now that we have a similarity measurement for images we have to decide on which images are the most representative ones.

4.3. **Multidimensional scaling**

To find the most representative images we have to create an order of images. For that, we use a statistical method called multi-dimensional scaling. To describe what it is in detail, let's show it by the following example: Let's assume, we have a distance matrix of 5 locations. From that we want to conclude their relative positions. With a two-dimensional scaling we can find a two-dimensional order of those five locations (ergo their positions in a 2D-space). For our purposes we use the same method, but instead of two dimensions, we want to have a one-dimensional order. Precisely, we use „one-dimensional scaling“. As input we take the distance matrix, as output each image gets an MDS value. The set of images is ordered by the MDS value. Next, the most
representative images are those who are the percentiles of the ordered set of images. Those images are selected and the result of our statistical evaluation.

<table>
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<tr>
<th>Im10</th>
<th>Im1</th>
<th>Im7</th>
<th>Im2</th>
<th>Im6</th>
<th>Im8</th>
<th>Im4</th>
<th>Im3</th>
<th>Im9</th>
<th>Im5</th>
</tr>
</thead>
<tbody>
<tr>
<td>-500</td>
<td>-312</td>
<td>-201</td>
<td>-23</td>
<td>65</td>
<td>134</td>
<td>333</td>
<td>506</td>
<td>709</td>
<td>899</td>
</tr>
</tbody>
</table>

**Figure 2: Example of One Dimensional Scaling.**

**Chapter 5: Test and assessment of the results**
First we tested ASAP for its stability on the Operation Systems Windows XP, Windows Vista and for UNIX systems Mac OS. After the stability tests we came to the conclusion that ASAP is running in these enviroments. We had not the capacity to test different hardware settings but we suppose it runs within the most common hardware settings. Inconsistent inputs are not possible, because the only way errors can be made is the options frame, but errors in this part are excluded by exceptions which advise the user of bad inputs, for example selection quota, filter accuracy greater 100% or string inputs.

To test the programm and the algorithm we selected 20 images (figure 1) from a random area in germany. These images were taken on various daytimes, seasons and weather conditions. For the first test we loaded five images into ASAP and have choosen a selection quota of 25%, so the result in the best case will consist of the five most different images.

**Figure 3: Overview of the test images.**

Obtaining the output (figure 4) of the selection performed by ASAP, we see that the variety of the images is quite heterogeneous. Image 12 seems to be normal with an
average brightness and an average contrast. Image two has, compared with the other images a high contrast and a higher average brightness; image three has a lower brightness than the average image and in some places a high contrast. Image four can be described as “normal” with a yellow undertone; image five has a low contrast and a low brightness and is probably a night image. Evaluating this result subjectively we can infer that that every kind of image properties of the input is represented.

In the next test we tried to find out if ASAP detects cloned images. For this test we cloned all the 20 images, loaded 40 images (the clones and the originals) into ASAP and chose a selection quota of 50% In the result we got some originals and some clones, but no image in the output was selected twice.

In order to verify the second test case we cloned the images a second time and filtered the images with a selection quota of 34%. In this test we had, similar to the test before, no duplicates, but the images were choosen of three sets. After performing the tests we conclude that ASAP will not have possible duplicates in the output as long as there are equal or less images in the output as unique images.

![Figure 4: Selection results.](image)

**Chapter 6: Problems and Changes**

In our proposal we defined first to get an introduction to Geonetcast, but we decided first to develop the filter methods because we realised that it would need more time than estimated. We also had to spend more time with the setup of the development environment, for example a link between java and R.

The greatest change we made was to use the access to the groundstation delivered by preparatory work of the data group. The advantage is that the user has a more comfortable possibility to choose the origin and the kind of the data he wants to obtain. That means that the spatial checker is not really needed, because the user can define his bounding box and there will be no amalgamation of images with different bounding boxes. So the spatial checker feature is implemented but it will likely not be needed.
Chapter 7: Lessons learned
We got an interesting overview of Geonetcast, its technology and its practical opportunities in research and how to help developing countries to solve some specific problems with this technology. The focus of our project was, in contrast to other groups, not on the direct work with the satellite data, instead we tried to process images. So first of all we had a deeper introduction to the complex questions of image processing. We also had to get involved into advanced statistical methods in theory and practice. To use these methods we had to refresh our knowledge in R and to find a way to link java with R. The most important thing we have learned is the developing and testing process of a heuristic for a new problem. In this field we had no experience before so here we have learned most. The last thing is that we refreshed our java skills specially in image processing.

Chapter 8: Conclusion
What we tried to achieve in ASAP was to find an automatic way to select representative images. We faced two major problems: 1. Representativeness is highly subjective and therefore very difficult to test. Since one cannot say which ones are the right images it is difficult to test the algorithm for correctness and if it creates valide results. 2. Since images contain huge amounts of data that are ordered in a spatial relationship the statistical computations are really complicated. Furthermore the processing time arises with the amount of data. To cope with this problem, we just took into account samples of images to reduce complexity. To let the spatial relationships of images play a role as well, we came up with the mahalanobis distance as a similarity measurement.

The statistical methods we used led to other problems. E.g. the covariance matrix could not be just calculated since it would be singular and not invertible. To solve that, we had to do a non linear regression to find the auto-correlation function. This function was used to get the covariances.

The focus of our work was not a high maintained GUI or nice extra features. We wanted to do research on a spatial algorithm, get involved with common statistical methods and develop something new. The results we got of our programm are quite satisfying. Of course sometimes one could argue if those image is more representative or not, but that is a problem of subjectiveness, which probably no algorithm can ever solve.